

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
AMARILLO DIVISION

SYLVIA S. CORTINEZ,

Plaintiff,

v.

JO ANNE B. BARNHART,
Commissioner of Social Security,

Defendant.

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2:03-CV-0156

REPORT AND RECOMMENDATION
TO AFFIRM THE DECISION OF THE COMMISSIONER

Plaintiff SYLVIA S. CORTINEZ brings this cause of action pursuant to 42 U.S.C. § 405(g), seeking review of a final decision of defendant JO ANNE BARNHART, Commissioner of Social Security (Commissioner), denying plaintiff's applications for disability benefits and supplemental security income benefits (SSI). For the reasons hereinafter expressed, the undersigned United States Magistrate Judge recommends the Commissioner's decision finding plaintiff not disabled and not entitled to benefits be AFFIRMED.

I.
PROCEEDINGS

On July 31, 2000, plaintiff filed an application for Disability Insurance Benefits alleging she became unable to work on May 29, 2000, because of a disabling condition.¹ (Tr. 94-96). As

¹On her application, plaintiff noted she had filed a previous application for disability benefits. She also remarked: "I did not work in 1981, 1986-87 and worked little in 1988 and 1990. Earnings were down some in 1995, far higher in 1994. I earned less in 1996." (Tr. 94, 96).

illnesses, injuries or conditions limiting her ability to work, plaintiff alleged “heart problems – knee problems.” (Tr. 106). Plaintiff alleged her heart condition first bothered her in February 1998, and that her knee problem first bothered her on May 29, 2000. She alleged her conditions limit her ability to work in that she gets “tired fast” when standing, and that she is “hurting all the time.” Plaintiff explained she stopped working on May 29, 2000 because she “hurt [her] knee - that caused [her] heart problems again.” Plaintiff obtained her GED in 1978 and completed a program at the Amarillo College of Hairdressing. (Tr. 112). Plaintiff identified past work as a hairdresser (1991-2000), working 40 hours a week, for 5 days a week. (Tr. 107, 115-16). Plaintiff filed an application for SSI on August 10, 2000. (Tr. 596-97). At the time she filed her applications, plaintiff was 46-years-old. (Tr. 94).

On April 20, 2001, the SSA, identifying plaintiff’s primary diagnosis as “coronary artery disease (S/P multiple PTCA’s)” and secondary diagnosis as “chronic lumbar pain syndrome,” denied plaintiff benefits determining plaintiff’s condition was not severe enough to keep her from working.² (Tr. 70, 72-78; 598-605). On June 4, 2001, counsel was appointed to represent plaintiff. (Tr. 26). Plaintiff, through counsel, requested the SSA reconsider its initial determination, explaining she did not agree with the determination because her “back pain and knee pain affect standing. A heart condition with high blood pressure also prevents substantial gainful activity. I do not have RFC to continue hairdressing.” (Tr. 79). On August 1, 2001, the

²In denying disability benefits, the SSA explained, “We have determined that your condition is not severe enough to keep you from working. . . . You said you were disabled because of heart problems, high blood pressure, bladder problems and a left knee injury. Medical reports show that these conditions are being treated. A physical examination and heart tests show evidence of some heart disease. These symptoms are not severe enough to be disabling. This problem required surgery. You no longer have chest pain. You do have high blood pressure but it has caused no serious damage to any part of your body. Medical reports show you have some problems with your left knee. This causes you some pain and discomfort. Although you said you have these symptoms, the evidence does not show that your ability to perform basic work activities is as limited as you indicated. Your overall medical condition may cause some restrictions. However, based on your description of your past job as a hairdresser, this condition does not prevent you from performing that work. (Tr. 78, 605).

SSA, identifying plaintiff's primary diagnosis as "ischemic heart disease" and her secondary diagnosis as "disorders of back (discogenic and degenerative)," denied plaintiff benefits upon reconsideration.³ (Tr. 71, 80-83; 606-09).

On September 26, 2001, plaintiff requested a hearing before an Administrative Law Judge ("ALJ"), explaining she disagreed with the determination on reconsideration because "[d]ue to back pain, knee pain and angina, I am unable to fully perform past relevant work. High blood pressure and constant [pain] effect (sic) ability to stand on feet for time periods necessary to be a hairdresser." (Tr. 84). On July 17, 2002, the ALJ conducted an administrative hearing.⁴ (Tr. 28-69). On January 17, 2003, the ALJ rendered an unfavorable decision, finding plaintiff not disabled at any time through the date of the decision. (Tr. 12-21).

In his decision, under the section "Evaluation of the Evidence," the ALJ noted plaintiff was 48-years-old, had the equivalent of a high school education, and specialized training as a hairdresser. (Tr. 16). The ALJ noted plaintiff's past work experience as a hairdresser.⁵ The ALJ acknowledged plaintiff's allegation that she became disabled on May 29, 2000 due to heart problems, left knee problems, bladder problems and high blood pressure. He further noted that after the date of alleged onset of disability, plaintiff worked part time as a hairdresser, four days

³In again denying disability benefits, the SSA explained, "We have determined that your condition is not severe enough to keep you from working. . . . You said you were disabled because of arthritis in your back and hands, knee pain, high blood pressure, shortness of breath, and heart problems. However, your current symptoms are not severe enough to be considered disabling under Social Security guidelines. Although you said you have these conditions, the evidence does not show that your ability to perform basic work activities is as limited as you indicated. Your overall medical condition may cause some restrictions. However, based on your description of your past job as a hair dresser, this condition does not prevent you from performing that work." (Tr. 83, 609).

⁴At the hearing, plaintiff also alleged depression as a "problem." (Tr. 34, 41-42, 48).

⁵During the hearing plaintiff's counsel identified additional previous work in a drycleaning business and as a telephone solicitor. (Tr. 54).

a week, 3-4 hours a day, but that such work activity did not rise to the level of substantial gainful activity because plaintiff did not earn more than \$740.00 monthly for the year 2001.

Noting plaintiff's contention she became disabled in May 2000, the ALJ reviewed portions of the medical record from June 2000 to May 2002, noting successful surgical treatment of plaintiff's heart condition and no evidence of any significant knee problems since 2000. (Tr. 17). The ALJ also noted medical records since 2001 indicated plaintiff had been treated for low back pain and that her high blood pressure was reported as stable. The ALJ found the medical evidence indicated plaintiff had impairments of (1) coronary artery disease, (2) status post left knee arthroscopy, (3) high blood pressure, and (4) a history of back problems (discogenic and degenerative). The ALJ found these impairments were severe within the meaning of the Regulations, but that such impairments were not severe enough to meet or medically equal one of the impairments listed in Appendix 1, Subpart P, Regulations No. 4. The ALJ specifically noted plaintiff's cardiovascular conditions had "responded to surgical intervention" and were "reported as stable, and doing well, including the high blood pressure." The ALJ further noted plaintiff's back condition was "being treated conservatively without complications."

The ALJ then determined plaintiff's description of her limitations as preventing all work activity were not totally credible, explaining:

There is no evidence the claimant has been seen for ongoing problems related to her left knee. She works part time as a hairdresser four days a week, three to four hours a day. She indicates she could lift and carry 10-15 pounds and sit one or two hours at a time. She drives an automobile, shops, sews, crochets and does housework. The claimant takes her medications as prescribed and alleges no incapacitating side effects. While the claimant experiences some back pain, chest pain and shortness of breath, her alleged limitations as to preventing all work activity are not credible.

(Tr. 18, 20). The ALJ then determined plaintiff retained the residual functional capacity (RFC)

to perform “a wide range of light work as determined by the State agency program physicians.”

The ALJ clarified that he found plaintiff retained the RFC to:

[P]erform work activities involving occasionally lifting and carrying up to 20 pounds, and frequently lifting and carrying 10 pounds, can stand and walk for a total of 6 hours in an 8-hour day with normal breaks, is unlimited in pushing or pulling of hand/or foot controls, and can occasionally climb, kneel, crouch and crawl and frequently stoop and balance.

(Tr. 18, 20). The ALJ found, based upon her RFC, that plaintiff was capable of performing a significant range of light work as defined in 20 C.F.R. §§404.1567 and 416.967 impeded only by the noted exertional limitations. (Tr. 19, 21).

In determining whether plaintiff could perform any of her past relevant work, the ALJ noted plaintiff was 48 years old, defined as a younger individual in the regulations, had a high school equivalent education, and transferable skills from skilled work as previously performed. (Tr. 19). The ALJ acknowledged, however, that the issue of transferability of work skills was superfluous. “Giving the claimant the full benefit of the doubt as to her inability to perform her past relevant work as a hairdresser as generally performed in the national economy and as she performed the job,” the ALJ found plaintiff was no longer capable of performing her past relevant work as a hairdresser. (Tr. 19, 20).

In his decision, the ALJ recited that he asked the vocational expert (VE) whether jobs existed in the national economy for an individual of plaintiff’s age, education, past relevant work experience and RFC as determined. The ALJ recited that the VE testified that, “assuming the hypothetical individual’s specific work restrictions, she is capable of making a vocational adjustment to other work,” viz., as a companion, in cosmetic sales, as a surveillance monitor, or a hand bander. In his decision, the ALJ concluded that, based on the testimony of the VE, and

considering plaintiff's age, educational background, work experience, and RFC, plaintiff was capable of making a successful adjustment to work that exists in significant numbers in the national economy. (Tr. 19-20, 21). The ALJ thus concluded plaintiff was not under a disability as defined in the Social Security Act at any time through the date of his decision. (Tr. 20).

In her request for review of the ALJ's decision, plaintiff, represented by counsel, argued, "The decision is not supported by substantial evidence." (Tr. 9). Upon the Appeals Council's denial of plaintiff's request for review on May 2, 2003, the ALJ's determination that plaintiff was not under a disability became the final decision of the Commissioner. (Tr. 5-7). Plaintiff now seeks judicial review of the denial of benefits pursuant to 42 U.S.C. § 405(g).

II. STANDARD OF REVIEW

In reviewing disability determinations by the Commissioner, this court's role is limited to determining whether substantial evidence exists in the record, considered as a whole, to support the Commissioner's factual findings and whether any errors of law were made. *Anderson v. Sullivan*, 887 F.2d 630, 633 (5th Cir. 1989). Substantial evidence is "such relevant evidence as a responsible mind might accept to support a conclusion. It is more than a mere scintilla and less than a preponderance." *Boyd v. Apfel*, 239 F.3d 698, 704 (5th Cir. 2001). To determine whether substantial evidence of disability exists, four elements of proof must be weighed: (1) objective medical facts; (2) diagnoses and opinions of treating and examining physicians; (3) claimant's subjective evidence of pain and disability; and (4) claimant's age, education, and work history. *Wren v. Sullivan*, 925 F.2d 123, 126 (5th Cir. 1991) (citing *DePaepe v. Richardson*, 464 F.2d 92, 94(5th Cir. 1972)). If the Commissioner's findings are supported by substantial evidence, they

are conclusive, and the reviewing court may not substitute its own judgment for that of the Commissioner, even if the court determines the evidence preponderates toward a different finding. *Strickland v. Harris*, 615 F.2d 1103, 1106 (5th Cir. 1980). Conflicts in the evidence are to be resolved by the Commissioner, not the courts, *Laffoon v. Califano*, 558 F.2d 253, 254 (5th Cir. 1977), and only a "conspicuous absence of credible choices" or "no contrary medical evidence" will produce a finding of no substantial evidence. *Hames v. Heckler*, 707 F.2d at 164. Stated differently, the level of review is not *de novo*. The fact that the ALJ could have found plaintiff to be disabled is not the issue. The ALJ did not do this, and the case comes to federal court with the issue being limited to whether there was substantial evidence to support the ALJ decision.

III. MERITS

Plaintiff's brief in support of his application presents the following issues for review:

1. Whether the ALJ erred when he determined plaintiff did not have a listed impairment;
2. Whether the finding by the ALJ that petitioner retains the residual functional capacity (RFC) to perform light work was supported by substantial evidence.

The ALJ made the determination that plaintiff is not disabled at Step Five of the five-step sequential analysis. Therefore, this Court is limited to reviewing only whether there was substantial evidence in the record as a whole supporting a finding that plaintiff retained the ability to perform other work that exists in significant numbers in the national economy, and whether the proper legal standards were applied in reaching this decision.

A.
Listed Impairment

Plaintiff argues the ALJ erred because he failed to find plaintiff's coronary artery disease (CAD) met or medically equaled the listed impairment set forth in Listing 4.04C, *Ischemic heart disease*.⁶ Such Listing requires evidence establishing, as relevant here:

1. ischemic heart disease;
2. with chest discomfort associated with myocardial ischemia, as described in 4.00E3;
3. while on a regimen of prescribed treatment;
4. with coronary artery disease;
5. demonstrated by angiography (obtained independent of Social Security disability evaluation);
6. conclusion by an evaluating program physician, preferably one experienced in the care of patients with cardiovascular disease, that performance of exercise testing would present a significant risk to the claimant;
7. angiographic evidence
8. revealing 50 percent or more narrowing
9. involving a long (greater than 1 cm) segment
10. of a nonbypassed coronary artery; and
11. marked limitation of physical activity;
12. demonstrated by fatigue, palpitation, dyspnea, or anginal discomfort on ordinary physical activity, even though the individual is comfortable at rest.

⁶In her brief to this Court, plaintiff argues she suffers from the following severe and disabling impairments: (1) stenosis of the coronary arteries, including 40 percent proximal right stenosis; (2) anxiety; (3) depression; (4) weakness and shortness of breath on exertion; (5) fatigue and "falls asleep"; (6) left knee meniscal tear; and (7) hypertrophic facet disease at L-4 and L-5 impinges upon the canal and foramina.

See 20 C.F.R. Pt. 404, Subpt. P, App. 1 § 4.04C. Plaintiff first notes the ALJ, in his decision, found plaintiff has severe CAD (apparently relating to requirement 4 above). Plaintiff then cites the Court to a portion of a March 24, 2001 medical report from Northwest Texas Healthcare System wherein the cardiac catheterization lab evaluation found plaintiff to have:

[E]xcellent left ventricular function, normal thoracic and abdominal aorta, and only moderate atherosclerotic disease, 40% proximal right stenosis in a tubular fashion, very dominant right, nondominant circumflex with *50% stenosis in a long tubular fashion in the stent of the circumflex*. The LAD stent was widely open. In the middle of the LAD just after the diagonal takeoff, there appeared to be a slit-like narrowing; this was angioplastied successfully. . . .

(portion cited by plaintiff in italics).⁷ Plaintiff appears to argue this record meets requirements 7-10 listed above. Plaintiff then argues evidence of the marked limitation of physical activity (referring to requirement 11 above), the “other requirement of that listing . . . is found repeatedly throughout the records, but strikingly at Tr. 540.” The cited medical record is an August 23, 2002 “Visit Form” from Northwest Texas Healthcare System wherein the nurse noted:

Follow up visit. Complains of “no strength” and shortness of breath with exertion, fatigue and falls asleep. Describes of “constant” pain in chest extending into back and “heaviness” in chest. . . . Occasional “lightheadedness.” Complains of pain in hands with use.

Upon examination, the physician noted plaintiff’s history of CAD, hypertension and elevated cholesterol. The doctor also noted plaintiff was reporting “some fatigue.” The doctor found plaintiff’s CAD and hypertension were stable and instructed plaintiff to continue with her medications as directed. (Tr. 540).

Plaintiff has not cited any additional portions of the record which she contends support

⁷Plaintiff’s stenosis of the circumflex artery, although reflecting a 50% diffuse and stent stenosis, had satisfactory flow to the nondominant OM-1, OM-2 and OM-3. (Tr. 168). The physician, while performing a successful angioplasty on plaintiff’s LAD artery, did not to take any action with regard to the circumflex artery.

her allegation that her heart condition meets all of the requirements of Listing 4.04C set forth in numeric listing form above. Instead, in her reply brief, plaintiff argues the portion of the May 24, 2001 record cited in her original brief wherein the word “stenosis” was employed by the doctor was sufficient to show she meets the requirement of a showing of “ischemic heart disease” in requirement 1 because “stenosis” is “synonymous with ischemia.”⁸ Plaintiff also argues she has shown she meets requirement 5 because the May 24, 2001 findings were generated in a cardiac catheterization lab evaluation and a “heart cath is angiography.” Plaintiff further argues she has demonstrated requirement 9 (requiring involvement of a long - greater than 1 cm - segment) because the physician would not have utilized the term “long” in the May 24, 2001 report unless the stenosis was in a segment longer than 1 cm. Plaintiff argues she has demonstrated requirement 10 (a nonbypassed coronary artery) because the listing does not differentiate between a narrowing in the coronary artery itself or, instead, in the coronary artery as modified by the stent. Lastly, plaintiff argues she has demonstrated requirement 11 (marked limitation of physical activity) because the term “marked” is a “very vague and nebulous concept” and an “individual need not be precluded from performing an activity to have a marked limitation.” *Citing* 20 C.F.R., Pt. 404, Subpt. P., App. 1, 14.00D8 (addressing the Listing for human immunodeficiency virus).

The burden at Step 3 is on the plaintiff and she has not adequately demonstrated she meets each of the criteria for the listing. Plaintiff has not shown an evaluating physician has concluded that “performance of exercise testing would present a significant risk” to plaintiff. In

⁸*Ischemia* is defined as the deficient supply of blood to a body part (as the heart or brain) that is due to obstruction of the inflow of arterial blood (as by the narrowing of arteries by spasm or disease). *Stenosis* is defined as a narrowing or constriction of the diameter of a bodily passage or orifice. *Medline Plus Online*.

fact, on March 28, 2001, only four (4) days after the medical report upon which plaintiff relies almost exclusively as evidence that she meets the Listing, plaintiff underwent a stress myocardial perfusion study. (Tr. 457-77). Specifically, plaintiff underwent a Bruce exercise tolerance test for 4 minutes and 2 seconds achieving a maximum heart rate of 71 and maximum blood pressure of 179/88. Plaintiff's test was terminated for "[e]xhaustion without chest pain" and the EKG showed "[n]o stress-induced EKG changes." (Tr. 457). The test results showed "[n]ormal perfusion without evidence of stress-induced ischemia or myocardial infarction," "[n]ormal left ventricular size and function," and "[n]o significant interval change when compared with [plaintiff's] previous study dated 10 September 2000."

Nor has plaintiff shown the ALJ determination that she did not have a "marked limitation of physical activity" was without the evidentiary support necessary to avoid reversal. Plaintiff has not demonstrated she meets each of the criteria necessary to meet Listing 4.04C.

Plaintiff alternatively argues that even if the specific requirements of 4.04C have not been met, she has nonetheless made a showing that her impairment is *medically equivalent* to the 4.04C Listing. A marked limitation of physical activity, however, is a prerequisite of any equivalency determination. Although plaintiff has repeatedly complained of fatigue, anginal discomfort, and other limiting symptoms, the record does not provide objective medical evidence indicating plaintiff's physical activity is markedly limited. Plaintiff testified she was still working as a hairdresser four (4) days a week, for 3-5 hours a day, but explained she was losing customers because her heart problems "forced [her] to slow down [her] work by not being able to give a haircut in 15 minutes, 20 minutes." (Tr. 37). Plaintiff complained her heart problem caused shortness of breath upon walking approximately 50 yards. (Tr. 40). During the exercise test performed on May 28, 2001, however, plaintiff was able to adequately walk for 4 minutes

and 2 seconds at speeds starting at 1.7 mph and progressing to 2.5 mph, at a 10% and 12% grade. (Tr. 459). Further, plaintiff acknowledged her doctors told her she should be walking and doing exercise. (Tr. 42). Plaintiff also acknowledged she can take care of her personal needs, go grocery shopping (even though she prefers not to buy big quantities of groceries), and is able to do housework including sweeping, mopping, washing dishes, cooking, making the bed, and doing the laundry, even though such activities take longer to complete than they used to. (Tr. 45-46). Plaintiff testified she drives herself to work and to the store, crochets and knits a small amount, and helps at church printing out the children's studies and taking roll. (Tr. 47-48). At most, there is a conflict in the evidence regarding a marked limitation of physical activity which the ALJ resolved. Plaintiff has not demonstrated the ALJ's resolution of the conflict in the evidence was unreasonable or was not supported by some evidence. Moreover, plaintiff has not demonstrated, as is her burden at Step 3, a marked limitation of physical activity caused by her heart problems.

As the ALJ determined, plaintiff's cardiovascular conditions have responded to surgical intervention and are reported as stable and doing well, including the high blood pressure.⁹ The objective medical evidence, as well as plaintiff's testimony as to her activities of daily living, do not support plaintiff's claim that her heart condition is *medically equivalent* to Listing 4.04C. Plaintiff has not shown the Commissioner's decision that her heart condition did not meet or medically equal a listed impairment under Listing 4.04C is not supported by sufficient objective medical evidence of record so as not to meet the substantial evidence requirement.

⁹In 1999, a medical record indicated plaintiff's uncontrolled hypertension was most likely secondary to anxiety. (Tr. 385). In 2000, plaintiff self-discontinued her blood pressure medications. (Tr. 377-78). On February 26, 2001, plaintiff's blood pressure was 138/76, plaintiff was reported to be "doing well," her blood pressure medications were refilled, and she was directed to return to the clinic in one year. (Tr. 491). On August 23, 2002, plaintiff's hypertension was reported as stable. (Tr. 540).

B.

Plaintiff's Residual Functional Capacity

Plaintiff next argues the ALJ's finding that she retained the residual functional capacity (RFC) to perform a significant range of light work was not supported by substantial evidence. Plaintiff contends the only things cited by the ALJ as supportive of his RFC finding were (1) his adverse ruling as to plaintiff's credibility, and (2) the RFC evaluations made by the State agency physicians. Plaintiff argues credibility rulings do not constitute evidence and, thus, the ALJ's refusal to believe all of plaintiff's testimony cannot constitute substantial evidence to support his RFC finding. Plaintiff also argues the opinions of the State agency physicians are not supported by actual medical records and clinical findings and, therefore, the RFC evaluations are conclusory and cannot constitute substantial evidence of plaintiff's RFC.

In his decision, the ALJ reviewed certain portions of the medical record from June 2000 to May 2002, specifically finding plaintiff's heart condition had been successfully treated by surgical procedures, and that there was no evidence of any significant knee problems since her arthroscopy in August 2000. The ALJ found the medical records since 2001 indicated plaintiff had been treated for low back pain, that her high blood pressure was reported as stable, and that her May 2002 stent replacement had been successful without complications. The ALJ concluded plaintiff's cardiovascular conditions had responded to surgical intervention and were reported as stable and doing well, including the high blood pressure. The ALJ noted plaintiff's back condition was being treated conservatively without complications. Only after discussing and evaluating this medical evidence did the ALJ determine plaintiff's allegations of limitations preventing all work activity were not totally credible, and proceed to make the determination that plaintiff had the RFC as determined by the State agency program physicians. The credibility determination was not the sole

basis for the ALJ's RFC finding. The ALJ was not required to accept plaintiff's statement of her limitations without question. He properly considered his evaluation of plaintiff's credibility in determining the degree of pain plaintiff was experiencing and the effect of it and plaintiff's impairments on her ability to engage in any substantial gainful activity.

After determining plaintiff's RFC, the ALJ, at the hearing, had the following exchange with the VE:

Q. The first hypothetical I'm going to ask you about the same vocational profile, younger person, high school equivalency, and past work. (INAUDIBLE) in the file. Assume also the person can lift and carry 10 pounds frequently, 20 pounds occasionally. *Stand/walk six of eight hours*. Push/pull (INAUDIBLE). The following postural limitations. Climbing activities would be occasional only, that would include ramps, stairs, (INAUDIBLE), scaffolds. Also occasional only would be kneeling (INAUDIBLE). Could this person do the past work?

A. Yes.

Q. Second hypothetical, let's assume in this hypothetical number two everything is the same as the first one, except for the following. The person would be *limited in standing so that standing would be occasional only*. Could that person do the past work?

A. Not on a full time basis.

Q. Is there other work that such person could do?

A. Yes, sir.

(emphasis added). The VE then proceeded to name the alternative jobs a person described in the second hypothetical could perform, *i.e.*, a person "limited in standing so that standing would be occasional only."

In his written decision, the ALJ noted plaintiff's treatment for low back pain and found, as one of plaintiff's impairments, plaintiff's history of back problems (discogenic and degenerative). The ALJ also found plaintiff's back condition was being treated conservatively without

complications. Noting plaintiff experiences some back pain, the ALJ nonetheless adopted the RFC for a wide range of light work “as determined by the State agency program physicians,” and specifically found plaintiff retained the RFC which included the ability to “*stand and walk* for a total of 6 hours in an 8-hour day with normal breaks.”

Plaintiff, construing the state agency determination relied upon by the ALJ as a finding that plaintiff “could stand six hours per work day,”¹⁰ argues such finding is not supported by the medical evidence, is thus conclusory and cannot constitute substantial evidence to support the ALJ’s determination of non-disability. As conclusive evidence that plaintiff cannot stand six hours per work day, plaintiff points to an October 23, 2000 MRI report of plaintiff’s lumbar spine indicating hypertrophic facet disease impinging upon the canal and foramina at L5-S1, and to a slightly lesser extent at L4-5, where plaintiff had a previous disc dissection in the 1980s. (Tr. 155). Plaintiff maintains this MRI report fully accounts for the severe low back pain of which she complains and, thus, the RFC determination that she can stand for six hours is not supported by substantial evidence.

In response, the Commissioner points the Court to a January 29, 2001 internal medicine consultative exam where the physician found only “mild to moderate lumbar tenderness,” and plaintiff was “able to bend over with her fingertips remaining around four inches above the floor” and was “able to arise with minimal difficulty.” (Tr. 159). Neurological examination revealed good grip strength bilaterally, that plaintiff could do a few steps of tiptoe and heel walking, although she had a mild limp on the left side, no muscle weakness or atrophy, deep tendon reflexes 2+ and symmetrical and pinprick and vibratory sensation within normal range in all extremities. The Commissioner argues this report reveals a significant absence of objective factors and justifies

¹⁰The RFC stated did not address plaintiff’s ability to stand only, rather it addressed plaintiff’s ability to stand and walk.

the ALJ's conclusion that plaintiff's complained of pain was not disabling. The Commissioner notes the ALJ recognized plaintiff's severe impairment of a history of back problems, but argues the existence of her impairment did not render her incapable of engaging in *any* substantial gainful activity.

In reply, plaintiff argues the internal medicine consultative exam upon which the Commissioner relies "shows on its face that this claimant does not have the [RFC] for SGA." Plaintiff argues the physician opined she could not sit for more than ten minutes or walk for a half block without triggering back pain, and that simply standing triggers lower back pain. Plaintiff also argues the physician opined she might be able to lift up to fifteen pounds for one or two times without having problems and may be able to carry it for ten yards. Plaintiff appears to argue such "opinions" conclusively demonstrate she could not stand for six hours in a work day because of her back pain.¹¹

It appears the medical evidence as to whether plaintiff could or could not stand and walk six hours in an eight-hour day is, at most, conflicting. Conflicts in the evidence are to be resolved by the ALJ, not the courts, and are to be upheld unless there is a conspicuous absence of credible choices. Consequently, but for the presence of an additional factor which plaintiff has not argued, analysis of this issue would end with the ALJ's resolution of the conflict and the ALJ's adoption of the state agency physician's determination of RFC would not be reversible. However, the presence of this additional factor, *viz.*, the ALJ's determination that plaintiff is unable to return to her past relevant work as a hairdresser, clouds this analysis. The VE's testimony at the administrative

¹¹Neither party cites the accompanying report of the orthopedic surgeon who ordered the MRI of plaintiff's lower back upon which plaintiff relies as conclusive evidence that she cannot stand for six hours in a work day. In his November 13, 2000 entry, the physician ordered an aggressive physical therapy program for leg and back conditioning. In his January 15, 2001 entry, the physician noted plaintiff's medications were helping significantly in regard to her back, that plaintiff was doing water, low impact exercises which were good for her back, and ordered a continuation of plaintiff's "present activities."

hearing was, in effect, that an individual who could stand and walk six hours in an eight-hour day (the first hypothetical individual posed by the ALJ) would be capable of performing the work of a hairdresser. The ALJ, however, found plaintiff was unable to return to her past relevant work as a hairdresser (acknowledging the ALJ stated such finding was made to give plaintiff the “full benefit of the doubt”). Consequently, a conflict exists in the ALJ’s findings, *to wit*: the ALJ’s determination that plaintiff cannot return to her past relevant work as a hairdresser, and his finding that plaintiff is capable of standing and walking for six hours in a work day.

If this Court assumes, for purpose of argument only, that the ALJ’s RFC finding that plaintiff could stand and walk for six hours in a work day was not supported by substantial evidence and was, therefore, error, a determination would have to be made as to whether such error was reversible. No reversible error occurred here. Immediately after the VE testified a hypothetical person who could stand/walk six of eight hours could perform the work of a hairdresser, the ALJ presented the VE with a second hypothetical for an individual who was limited in standing to “occasional” standing only. In response to this second hypothetical, the VE testified to other work that could be performed by such an individual, identifying the jobs of companion, cosmetic sales, surveillance monitor, and hand bander. Consequently, there is sufficient evidence to support a determination that plaintiff was capable of “occasional” standing. Plaintiff’s testimony clearly supports that finding. As an individual capable of occasional standing would be able to perform the jobs identified in response to the second hypothetical, there is substantial evidence that plaintiff could perform the work identified by the VE and, consequently, is not disabled. Therefore, any error in the ALJ’s RFC with regard to plaintiff’s ability to stand and walk for six hours is harmless error and not reversible.

IV.
RECOMMENDATION

For the reasons set forth above, it is the opinion and recommendation of the undersigned to the United States District Judge that the decision of the defendant Commissioner finding plaintiff not disabled and not entitled to a period of disability benefits be AFFIRMED.

V.
INSTRUCTIONS FOR SERVICE

The District Clerk is directed to send a copy of this Report and Recommendation to plaintiff's attorney of record by certified mail, return receipt requested, and to the Assistant United States Attorney by the most efficient means available.

IT IS SO RECOMMENDED.

ENTERED this 12th day of June 2006.



CLINTON E. AVERITTE
UNITED STATES MAGISTRATE JUDGE

* NOTICE OF RIGHT TO OBJECT *

Any party may object to these proposed findings, conclusions and recommendation. In the event a party wishes to object, they are hereby NOTIFIED that the deadline for filing objections is eleven (11) days from the date of filing as indicated by the file mark on the first page of this recommendation. Service is complete upon mailing, Fed. R. Civ. P. 5(b), and the parties are allowed a 3-day service by mail extension, Fed. R. Civ. P. 6(e). Therefore, any objections must be filed on or before the fourteenth (14th) day after this recommendation is filed. See 28 U.S.C. § 636(b); Fed. R. Civ. P. 72(b); R. 4(a)(1) of Miscellaneous Order No. 6, as authorized by Local Rule 3.1, Local Rules of the United States District Courts for the Northern District of Texas.

Any such objections shall be made in a written pleading entitled "Objections to the Report and Recommendation." Objecting parties shall file the written objections with the United States District Clerk and serve a copy of such objections on all other parties. A party's failure to timely file written objections to the proposed findings, conclusions, and recommendation contained in this

report shall bar an aggrieved party, except upon grounds of plain error, from attacking on appeal the unobjected-to proposed factual findings, legal conclusions, and recommendation set forth by the Magistrate Judge in this report and accepted by the district court. *See Douglass v. United Services Auto. Ass'n*, 79 F.3d 1415, 1428-29 (5th Cir. 1996); *Rodriguez v. Bowen*, 857 F.2d 275, 276-77 (5th Cir. 1988).